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JC978 U.S. PTO  
10/039652

10/13/21  
12/31/01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

**Attorney Docket No.** 42390.P11491  
(maximum 12 characters)

**First Named Inventor** Rajeev K. Nalawadi, et al.

**Title:** METHOD AND APPARATUS FOR MODIFYING THE CONTENTS OF REVISION IDENTIFICATION REGISTER

**Express Mail Label No.** EL867648893US

**ADDRESS TO:** **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.   X   **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.        **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.   X   **Specification (Total Pages   15  )**  
(preferred arrangement set forth below)
  - Cover page
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.   X   **Drawings(s) (35 USC 113) (Total Sheets   3  )**
5.   X   **Oath or Declaration (Total Pages   5  )**
  - a.        Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 18 completed)
  - i.        **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c.   X   Unsigned.
6.        **Application Data Sheet. (37 CFR 1.76)**
7.        CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.        Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.        Computer Readable Form (CRF)
  - b.        Specification Sequence Listing on
    - i.        CD-ROM or CD-R (2 copies); or
    - ii.        paper
  - c.        Statement verifying identity of above copies

| Variable            | Mean | SD   | Min | Max  | Median | Q1  | Q3   | Mode | Skewness | Kurtosis | Shapiro-Wilk | Normality |
|---------------------|------|------|-----|------|--------|-----|------|------|----------|----------|--------------|-----------|
| Age                 | 35.2 | 12.5 | 18  | 65   | 32     | 28  | 38   | 35   | 0.15     | 2.1      | 0.98         | Normal    |
| Gender              | 1.2  | 0.4  | 1   | 2    | 1      | 1   | 1    | 1    | 0.05     | 0.2      | 0.99         | Normal    |
| Marital Status      | 2.1  | 0.8  | 1   | 3    | 2      | 1.5 | 2.5  | 2    | 0.12     | 1.8      | 0.97         | Normal    |
| Education           | 15.8 | 2.1  | 10  | 20   | 16     | 15  | 17   | 16   | 0.08     | 0.5      | 0.99         | Normal    |
| Income              | 1200 | 300  | 500 | 2500 | 1100   | 900 | 1300 | 1000 | 0.18     | 2.5      | 0.96         | Normal    |
| Occupation          | 1.5  | 0.5  | 1   | 3    | 1.5    | 1.2 | 1.8  | 1.5  | 0.02     | 0.1      | 0.99         | Normal    |
| Health Status       | 2.5  | 0.6  | 1   | 3    | 2.5    | 2.2 | 2.8  | 2.5  | 0.01     | 0.05     | 0.99         | Normal    |
| Stress Level        | 3.2  | 1.1  | 1   | 5    | 3      | 2.5 | 3.8  | 3    | 0.10     | 1.5      | 0.98         | Normal    |
| Life Satisfaction   | 4.1  | 0.9  | 2   | 5    | 4.2    | 3.8 | 4.5  | 4.1  | 0.03     | 0.2      | 0.99         | Normal    |
| Resilience          | 3.8  | 1.0  | 1   | 5    | 3.9    | 3.5 | 4.2  | 3.8  | 0.05     | 0.8      | 0.99         | Normal    |
| Optimism            | 4.5  | 0.8  | 2   | 5    | 4.6    | 4.2 | 4.8  | 4.5  | 0.02     | 0.1      | 0.99         | Normal    |
| Emotional Stability | 3.5  | 0.7  | 1   | 5    | 3.6    | 3.2 | 3.9  | 3.5  | 0.04     | 0.6      | 0.99         | Normal    |
| Self-Esteem         | 4.0  | 0.9  | 2   | 5    | 4.1    | 3.7 | 4.4  | 4.0  | 0.03     | 0.2      | 0.99         | Normal    |
| Life Satisfaction   | 4.1  | 0.9  | 2   | 5    | 4.2    | 3.8 | 4.5  | 4.1  | 0.03     | 0.2      | 0.99         | Normal    |
| Resilience          | 3.8  | 1.0  | 1   | 5    | 3.9    | 3.5 | 4.2  | 3.8  | 0.05     | 0.8      | 0.99         | Normal    |
| Optimism            | 4.5  | 0.8  | 2   | 5    | 4.6    | 4.2 | 4.8  | 4.5  | 0.02     | 0.1      | 0.99         | Normal    |
| Emotional Stability | 3.5  | 0.7  | 1   | 5    | 3.6    | 3.2 | 3.9  | 3.5  | 0.04     | 0.6      | 0.99         | Normal    |
| Self-Esteem         | 4.0  | 0.9  | 2   | 5    | 4.1    | 3.7 | 4.4  | 4.0  | 0.03     | 0.2      | 0.99         | Normal    |

- 18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority.

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

19. Correspondence Address

|          |                              |
|----------|------------------------------|
| <b>X</b> | Correspondence Address Below |
|----------|------------------------------|

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

## Seventh Floor

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Signature: \_\_\_\_\_ Date: December 31, 2001

**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT (\$)** \$1028.00**Complete if Known:**

Application No. Unassigned  
 Filing Date Unassigned  
 First Named Inventor Rajeev K. Nalawadi, et al.  
 Group Art Unit Unassigned  
 Examiner Name Unassigned  
 Attorney Docket No. 42390.P11491

**METHOD OF PAYMENT (check one)**

1. ☒ [ X ] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

- ☒ [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ [ ] Applicant claims small entity status. See 37 CFR 1.27

2. X Payment Enclosed: X Check  
 \_\_\_\_\_ Money Order  
 \_\_\_\_\_ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description                    | Fee Paid      |
|--------------|----------|--------------|----------|------------------------------------|---------------|
| Code         | Fee (\$) | Code         | Fee (\$) |                                    |               |
| 101          | 740      | 201          | 370      | Utility application filing fee     | <u>740.00</u> |
| 106          | 330      | 206          | 165      | Design application filing fee      | <u>0</u>      |
| 107          | 510      | 207          | 255      | Plant filing fee                   | <u>0</u>      |
| 108          | 740      | 208          | 370      | Reissue filing fee                 | <u>0</u>      |
| 114          | 160      | 214          | 80       | Provisional application filing fee | <u>0</u>      |

**SUBTOTAL (1)** \$ 740.00**2. EXTRA CLAIM FEES**

|                    |           | Extra Claims      | Fee from below | Fee Paid        |
|--------------------|-----------|-------------------|----------------|-----------------|
| Total Claims       | <u>22</u> | - 20** = <u>2</u> | X <u>18.00</u> | = <u>36.00</u>  |
| Independent Claims | <u>6</u>  | - 3** = <u>3</u>  | X <u>84.00</u> | = <u>252.00</u> |
| Multiple Dependent |           |                   |                | = <u>0</u>      |

\*\*Or number previously paid, if greater; For Reissues, see below.

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Code         | Fee (\$) | Code         | Fee (\$) |   |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                         |
| 104          | 280      | 204          | 140      | Multiple dependent claim, if not paid                     |
| 109          | 84       | 209          | 42       | **Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** \$ 288.00

# FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| <u>Large Entity</u> |                 | <u>Small Entity</u> |                 | <u>Fee Description</u>   | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Code</u>         | <u>Fee (\$)</u> | <u>Code</u>         | <u>Fee (\$)</u> |  |                 |
| 105                 | 130             | 205                 | 65              | Surcharge - late filing fee or oath  | _____           |
| 127                 | 50              | 227                 | 25              | Surcharge - late provisional filing fee or cover sheet                     | _____           |
| 139                 | 130             | 139                 | 130             | Non-English specification  | _____           |
| 147                 | 2,520           | 147                 | 2,520           | For filing a request for ex parte reexamination                            | _____           |
| 099                 | 8,800           | 099                 | 8,800           | Request for inter parties reexamination                                    | _____           |
| 112                 | 920*            | 112                 | 920*            | Requesting publication of SIR prior to Examiner action                     | _____           |
| 113                 | 1,840*          | 113                 | 1,840*          | Requesting publication of SIR after Examiner action                        | _____           |
| 115                 | 110             | 215                 | 55              | Extension for reply within first month                                     | _____           |
| 116                 | 400             | 216                 | 200             | Extension for reply within second month                                    | _____           |
| 117                 | 920             | 217                 | 460             | Extension for reply within third month                                     | _____           |
| 118                 | 1,440           | 218                 | 720             | Extension for reply within fourth month                                    | _____           |
| 128                 | 1,960           | 228                 | 980             | Extension for reply within fifth month                                     | _____           |
| 119                 | 320             | 219                 | 160             | Notice of Appeal   | _____           |
| 120                 | 320             | 220                 | 160             | Filing a brief in support of an appeal                                     | _____           |
| 121                 | 280             | 221                 | 140             | Request for oral hearing   | _____           |
| 138                 | 1,510           | 138                 | 1,510           | Petition to institute a public use proceeding                              | _____           |
| 140                 | 110             | 240                 | 55              | Petition to revive - unavoidable   | _____           |
| 141                 | 1,280           | 241                 | 640             | Petition to revive - unintentional   | _____           |
| 142                 | 1,280           | 242                 | 640             | Utility issue fee (or reissue)   | _____           |
| 143                 | 460             | 243                 | 230             | Design issue fee   | _____           |
| 144                 | 620             | 244                 | 310             | Plant issue fee  | _____           |
| 122                 | 130             | 122                 | 130             | Petitions to the Commissioner  | _____           |
| 123                 | 50              | 123                 | 50              | Processing fee under 37 CFR 1.17(q)  | _____           |
| 126                 | 180             | 126                 | 180             | Submission of Information Disclosure Stmt                                  | _____           |
| 581                 | 40              | 581                 | 40              | Recording each patent assignment per property (times number of properties) | _____           |
| 146                 | 740             | 246                 | 370             | For filing a submission after final rejection (see 37 CFR 1.129(a))        | _____           |
| 148                 | 110             | 248                 | 55              | Statutory Disclaimer   | _____           |
| 149                 | 740             | 249                 | 370             | For each additional invention to be examined (see 37 CFR 1.129(b))         | _____           |
| 179                 | 740             | 279                 | 370             | Request for Continued Examination (RCE)                                    | _____           |
| 169                 | 900             | 169                 | 900             | Request for expedited examination of a design application                  | _____           |
| 195                 | 300             | 195                 | 300             | Publication fee for early, voluntary, or normal pub.                       | _____           |
| 196                 | 300             | 196                 | 300             | Publication fee for republication  | _____           |
| 194                 | 130             | 194                 | 130             | Request for voluntary publication or republication                         | _____           |
| 098                 | 130             | 098                 | 130             | Processing fee under 37 CFR 1.17(i) (except provisionals)                  | _____           |
| 091                 | 1,280           | 091                 | 1,280           | Acceptance of unintentionally delayed claim for priority                   | _____           |

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 0**

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY:

Typed or Printed Name: Michael J. Mallie

Signature:  Date: December 31, 2001

Reg. Number: 36,591 Telephone Number: (408) 720-8300

## EXPRESS MAIL CERTIFICATE OF MAILING

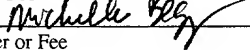
"Express Mail" mailing label number: EL867648902US

Date of Deposit: December 30, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D C 20231.

MICHELLE BEGAY

Name of Person Mailing Paper or Fee \_\_\_\_\_

Signature of Person Mailing Paper or Fee  Date Signed December 31, 2001